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JAN 26 2004

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(excluding cited references)

46

Application Number

09/663,659

Filing Date

9/15/2000

First Named Inventor

Malcolm G. Smith, Sr.

Art Unit

2876

Examiner Name

Nowlin, April A.

Total Number of Pages in This Submission (excluding cited references)	46	Attorney Docket Number	ULT-005-2C2P
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ENCLOSURES (check all that apply)

- Petition For Revival (PTO/SB/64)
 Terminal Disclaimer
 Amendment / Response
 Response To Office Action
 Fee Transmittal Form
 Deposit Account 50-0574
 Information Disclosure Statement including copies of cited references
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

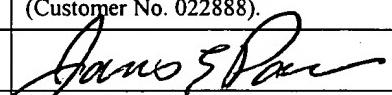
- Assignment Papers
(for an Application)
 Request To Amend Drawings
 Redline Drawings
 Power of Attorney, By Assignee to Exclusion of Inventor Under 37 CFR 3.71 With Revocation of Prior Powers
 Statement Under 37 CFR 3.73(b)
 Request for Refund
 Extension of Time Request
 Request To Correct Filing Receipt

- After Allowance Communication to Group
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Additional Enclosure(s)
(please identify below):

Return-receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm
or
Individual name James E. Parsons, Reg. No. 34,691,
BEVER, HOFFMAN & HARMS, LLP
(Customer No. 022888).Signature 

Date January 21, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Carrie Reddick

Signature 

Date January 21, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 C.F.R. § 1.27

TOTAL AMOUNT OF PAYMENT	(\$) 845.00
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Complete if Known

Application Number	09/663,659
Filing Date	9/15/2000
First Named Inventor	Malcolm G. Smith, Sr.
Examiner Name	Nowlin, April A.
Art Unit	2876
Attorney Docket Number	ULT-005-2C2P

METHOD OF PAYMENT (check one)

1. The Director is authorized to charge indicated fees and credit any over payments to:

Deposit Acct. No. **50-0574 (Docket No. ULT-005-2C2P)**

Deposit Acct Name **Bever, Hoffman & Harms, LLP**

 Charge Any Additional Fee Required Under 37 CFR § 1.16 & 1.17

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20** =	x	=
Independent Claims	-3** =	x	=
Multiple Dependent		=	

**or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	665.00
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 ~ 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Petitions related to provisional applications	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (Specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 845.00**SUBMITTED BY***Complete (if applicable)*

Name (Print/Type)	James E. Parsons	Registration No. (Attorney/Agent)	34,691	Telephone	(408) 451-5906
Signature		Date	January 21, 2004		



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Malcolm G. Smith, Sr. et al.

Assignee: UltraCard, Inc.

Title: Data Storage Card Having A Non-Magnetic Substrate and Data Surface Region And Method for Using Same

Serial No.: 09/663,659 File Date: 09/15/2000

Examiner: Nowlin, April A. Art Unit: 2876

Docket No.: ULT-005-2C2P (old 5573 413436/070)

Date: January 21, 2004

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

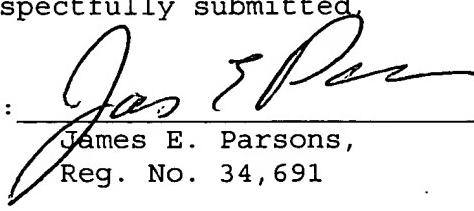
Sir:

There is an error with respect to the following:

<u>Error in</u>	<u>Correct data</u>
Continuing Data as Claimed by Applicant	THIS APPLICATION IS A CIP OF 09/113,783 07/10/1998 PAT 6,131,816

The original Filing Receipt is incorrect because the Applicant only claimed priority to application number 09/113,783, as evidenced by the enclosed Inventor Declaration. A copy of the Filing Receipt with the changes noted thereon is enclosed. Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

By: 
James E. Parsons,
Reg. No. 34,691

Customer No. 022888
Telephone: (408) 451-5906

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Date: January 21, 2004 Signature: 
Name: Carrie Reddick

COPY**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/663,659	09/15/2000	1772	1179	5573 413436/070	10	65	14

FILING RECEIPT

Daniel J Meaney Jr Esq
 PO Box 22307
 Santa Barbara, CA 93121



OC000000005512198

Date Mailed: 10/27/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Malcolm G. Smith SR., Mulege, MEXICO;
 Donald C. Mann, Thousand Oaks, CA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/113,783 07/10/1998 PAT 6,131,816
 WHICH IS A CON OF 09/105,696 06/26/1998 ABN
 WHICH IS A CIP OF 07/871,447 04/21/1992
 WHICH IS A CIP OF 07/342,217 04/24/1989 PAT 5,107,099

Foreign Applications

If Required, Foreign Filing License Granted 10/27/2000

**** SMALL ENTITY ****

Title

Data storage card having a non-magnetic substrate and data surface region and method for using same

Preliminary Class

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